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<b>(54) Title:</b> METHODS AND COMPOSITIONS FOR THE DIRECT CONCENTRATED DELIVERY OF PASSIVE IMMUNITY  <b>(57) Abstract</b> <p>Compositions containing a high concentration of the full repertoire of immunoglobulins, including IgA, IgM and IgG, are used to combat infections from microorganisms and viruses at a wound, surgical, or burn site, or normal tissue at times of risk of infection. The compositions can contain elevated antibody titers for several specific pathogens including <i>S. aureus</i>, CNS, <i>Enterococci</i>, <i>S. epidermidis</i>, <i>P. aeruginosa</i>, <i>E. coli</i>, and <i>Enterobacter</i> spp., etc. The compositions are applied directly to a wound or burn site as an ointment, creme, fluid, spray, or the like, prior to viral or bacterial attachment or biofilm formation such that adhesion of the pathogens is inhibited and the pathogens closest to the wound or burn site will be pre-opsonized for phagocytic killing prior to toxin release. The immunoglobulins in the composition can be immobilized on a biocompatible material such as collagen, fibrin, hyaluronan, biodegradable polymers, and fragments thereof, which will be placed in-situ at the wound, surgical or burn site. In addition, the immunoglobulins in the composition may be coated on the body contacting surface of an implantable device such as a catheter, contact lens or total joint. The inventive compositions have particular application in preventing infections.</p>		

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# METHODS AND COMPOSITIONS FOR THE DIRECT CONCENTRATED DELIVERY OF PASSIVE IMMUNITY

## DESCRIPTION

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### BACKGROUND OF THE INVENTION

#### *Field of the Invention*

10           The invention is directed to the in situ  
precoating and preopsonization by direct  
application of a full repertoire of immunoglobulins  
(IgG, IgA, IgM, and parts thereof) to the surfaces  
of tissues and biomaterials for the prevention and  
15           treatment of microbial adhesion, colonization, and  
infection in man and animals.

#### *Description of the Prior Art*

20           Surgical wound infection, especially  
biomaterial centered, or sepsis subsequent to major  
trauma with bacterial contamination, continues to  
be a significant problem in morbidity and cost even  
with the use of antibiotics. There are twenty five  
25           million surgeries each year in the United States  
and an equivalent number in Europe. Although rates  
of infection are quite low for most elective  
surgeries, they are significantly higher in the  
presence of biomaterial implants or traumatized  
30           tissue and range from less than 1% for total hips,  
to 6% for vascular grafts, half of which culminate  
in amputation or death. The rate of infection for  
the total artificial heart is essentially 100% if

awaiting bridge to transplant for more than ninety days. Most often, and interestingly, infections about biomaterials cannot be cured without removal of the implant, even with massive doses of antibiotics. Major contaminated wounds and open fractures such as occur in industry, auto trauma, and warfare also have up to and more than a 10% rate of sepsis. Biomaterial centered infection is discussed in Gristina, *Science*, 237:1588-1595 (1987), Gristina et al., *JAMA*, 259:870-874 (1988), and Gristina et al., "Molecular Mechanisms in Musculoskeletal Sepsis: The Race for the Surface", Chapter 58 of Instructional Course Lectures, Vol. XXXIX 1990, ed. Greene, American Academy of Orthopaedic Surgeons.

All burns are colonized by bacteria. Large 2° and 3° burns produce severe local and systemic sepsis, toxin release, additional tissue destruction, and bacteremia.

Streptococcal infections, endocarditis, and pneumonia also persist as serious problems for at risk groups. Tuberculosis and secondary opportunistic pathogens are among the recurring diseases in immuno compromised patients (AIDs). For these diseases, antibiotics are often ineffective, not timely or deliverable. Respiratory, genitourinary, and gynecologic mucosal surfaces are vulnerable to recurrent and chronic bacterial and viral invasion.

The two important causal mechanisms for these infections are: (1) microbial adhesion to damaged tissue or biomaterial substrata and the formation of bacterial biofilms which shield microorganisms

from host defenses and antibiotics, and (2) disruption of host defenses and the production of an immunoincompetent inflammatory zone at damaged tissues and biomaterial interfaces. Biomaterial surfaces, their particulate debris, severe tissue trauma, and burns cause massive and chronic inflammatory responses characterized by host defense mechanism exhaustion. Additionally 1° and 2° immuno deficiency states (e.g., AIDs, the aged, diabetics, etc.) cause increased host susceptibility to pathogens.

Currently antibiotics are the treatment of choice for most bacterial diseases, but they tend to be ineffective against contaminated open fracture, biomaterial centered, foreign body and burn infections, cannot be extensively used to preempt infection, and do not potentiate host defenses. Antibiotics and host defenses (immunoglobulins) usually are ineffective after bacteria have formed protective biofilms (see, Gristina, *Science*, 237:1588-1595 (1987), Gristina et al., *JAMA*, 259:870-874 (1988), and Gristina et al., "Molecular Mechanisms in Musculoskeletal Sepsis: The Race for the Surface", Chapter 58 of Instructional Course Lectures, Vol. XXXIX 1990, ed. Greene, American Academy of Orthopaedic Surgeons). Furthermore, use of antibiotics causes selection for the survival of drug-resistant strains.

Higher animals have, by evolution, established several very effective means of defense against microbes involving the immune system. Invading bacteria are rapidly identified, via complement and immunoglobulin opsonization, phagocytized and

destroyed by the cellular immune system and white blood cells (neutrophils and macrophages). Globulins are essentially nature's perfect antibodies. Complement, available as a precursor protein which is activated by the presence of microorganisms and globulins, also functions in antibacterial activities. Opsonization of foreign organisms is the memory component of the immune system. After previous antigenic exposure, the immune system produces a series of globulins which attach to and coat bacteria or neutralize viruses so that they are readily recognized, phagocytosed and destroyed by neutrophils and macrophages. Foreign proteins of invading organisms also stimulate a humoral immune response which over a period of time (3-6 weeks) amplifies the numbers of cells designed to recognize and destroy specific invaders. Tables 1 and 2 present the antimicrobial functions of immunoglobulins and the metabolic properties of immunoglobulins.

TABLE 1

## Antimicrobial functions:

- (1) Bacterial lysis (requires complement)
- (2) Opsonization (enhanced by complement)
- (3) Toxin neutralization
- (4) Viral neutralization (may be enhanced by complement)
- (5) Mediates antibody dependent cell mediated cytotoxicity (ADCC)
- (6) Synergistic activity with antibiotics

TABLE 2

## Metabolic Properties of Immunoglobulins

		IgG	IgA	IgM	IgD	IgE
5	Serum Level Mean	989	200	100	3	0.008
	(mg/dl) (range)	(600-1600)	(60-330)	(45-150)		
	Total Body Pool	1030	210	36	1.1	0.01
10	mean (mg/kg)					
	(range)	(570-2050)				
	Synthesis rate	36	28	2.2	0.4	0.004
	mean (mg/kg/day)					
15	Plasma half life	21	5.9	5.1	2.8	2.4
	mean (days)					
	Fractional turn-	6.9	24.0	10.6	37.0	72.0
20	over rate					
	(% day) mean					
	Fraction for each	0.52	0.55	0.74	0.75	0.51
25	class in plasma <sup>a</sup>					
	mean					
	<sup>a</sup> This fraction represents the portion of the total immunoglobulins of each class that is found in the plasma.					

Host responses are initiated only after bacteria or viruses have already colonized tissues or implants and are beginning to enhance their own defenses (antigen masking, replication, biofilm, toxins). The host defense strategies require time to reach peak responses. During this time period, serious infection may be established, especially in immuno-compromised patients. The presence of tissue damage and foreign bodies lower thresholds of infection and diminishes effective responses.

In the last decade, intravenous immunoglobulins (IVIG) have become a major treatment regime for bacterial and viral infections and of primary and secondary immunodeficiency

states. For example, Buckley et al., *New Eng. J. Med.* 325:110-117 (1991), describe using intravenous immune globulin in the treatment of immunodeficiency diseases, and Cometta et al., *New Eng. J. Med.* 327:234-239 (1992), describe the prophylactic intravenous administration of standard immune globulin and core-lipopolysaccharide immune globulin in patients at high risk of post-surgical infection. IVIGs are prepared from the pooled plasmas of large numbers of donors, and tend to have a broad representation of antibodies. Specifically, pooled polyvalent human globulins usually contain antibodies for ubiquitous pathogens such as *H. influenza* type b, pneumococci, staphylococci, diphtheria, tetanus, respiratory syncytial virus (RSV), measles, cytomegalovirus (CMV), and varicella zoster virus. Antibody concentrations from lot to lot and from manufacturer to manufacturer usually vary only two to four fold when measured by antibody binding assays. However, functional assays often show much larger lot to lot variations as do antibody concentrations to less common pathogens (see, Siber et al., "Use of immune globulins in the prevention and treatment of infections", Current Clinical Topics in Infectious Disease, Remington JS, Swartz MM, eds., Blackwell Scientific, Boston, 12:208-257 (1992)).

IVIG therapy has been reported to be beneficial for more than thirty five diseases produced by immunopathologic mechanisms. Passive immunization against infections has been particularly successful with immune globulins



specific for tetanus, hepatitis B, rabies, chickenpox, and cytomegalovirus. Passive immunization depends on the presence of high and consistent titers of antibodies to the respective pathogens in each preparation.

Nosocomial infections are derived from the hospital or clinical setting, and are also a serious problem. Specifically, bacteria and viruses present in the hospital or clinic can infect a recovering patient and put the patient at risk or prolong the recovery period. A patient's risk factors for nosocomial infection can be intrinsic, such as susceptibility to infection due to immunosuppression, or extrinsic, such as invasive medical interventions (e.g., surgery or use of medical devices such as catheters, ventilators, etc.). *Staphylococcus aureus* is an important cause of nosocomial infection, especially nosocomial pneumonia, surgical wound infection, and bloodstream infection (Panlilio et al., *Infect. Cont. Hosp. Epidemiol.* 13:582-586 (1992)). Other pathogens commonly associated with nosocomial infection include, but are not limited to, *Escherichia coli*, *Pseudomonas aeruginosa*, *Enterococcus* spp., *Enterobacter* spp., coagulase-negative staphylococci (CNS), and *Candida albicans* (Emori et al., *Am. J. Med.* 91: (suppl 3B) 289S-293S (1991)). Hospitals and clinics typically employ strict sterilization procedures and use antibiotics such as methicillin, oxacillin, and nafcillin to combat virulent bacterial pathogens. However, nosocomial infections still occur in great numbers and are expected to increase with an aging

population.

The use of intravenous immunoglobulins to prevent nosocomial infections has been discussed in Siber, *New Eng. J. Med.* 327:269-271 (1992).

5 Passive immunization against infections has been particularly successful using immune globulins containing antibodies specific for tetanus, hepatitis B, rabies, chickenpox, and CMV. However, it is reported that there is an inconsistent  
10 benefit from using intravenous immune globulins to prevent nosocomial infections. This may be due to variable lot-to-lot levels of antibodies to the more common nosocomial pathogens and emerging new serotypes.

15 U.S. Patent 4,412,990 to Lundblad et al. discloses an intravenous pharmaceutical composition containing immunoglobulin (IgG) and fibronectin that exhibits a synergistic opsonic activity which results in enhanced phagocytosis of bacteria,  
20 immune complexes, and viruses.

U.S. Patent 4,994,269 to Collins et al. discloses the topical use of monoclonal antibodies for the prevention and treatment of experimental *P. aeruginosa* lung infections. Specifically, the  
25 antibodies are administered via aerosol spray to the lungs. Results show beneficial effects in the treatment of *Pseudomonas pneumonia*.

U.S. Patent 4,714,612 to Nakamura et al. discloses the use of a non-specific gamma globulin  
30 IgG in a mouthwash for preventing gingivitis. Ma et al., *Arch. Oral Biol.*, 35 suppl:115S-122S, 1990, discloses the use of monoclonal antibodies specific for *Streptococcus mutans* in a mouthwash.

Experiments showed control subjects experienced recolonization with *Streptococcus mutans* within two days, but those treated with the monoclonal antibodies remained free of *Streptococcus mutans* for up to two years.

#### SUMMARY OF THE INVENTION

It is an object of this invention to provide a new method for the direct, concentrated local delivery of passive immunity.

It is another object of this invention to provide new compositions which include a full repertoire of immunoglobulin classes (IgG, IgA, IgM), and new methods for prophylactic positioning of the compositions wherein the compositions are applied directly to wounds, burns, tissues, and biomaterial devices as a creme, ointment, coating, layer, or the like, to prevent and treat infection from microorganisms and viruses.

It is another object of this invention to provide new compositions, which can include a full repertoire of immunoglobulin classes (IgG, IgA, IgM), and has a broad spectrum of antibodies with elevated antibody titers to specific microorganisms that commonly cause biomaterial, burn, mucosal, tissue, surgical wound, and body cavity infections.

It is another object of this invention to provide a biocompatible layer with an immunoglobulin composition containing a broad spectrum of antibodies to specific infectious pathogens immobilized thereon that is placed in-situ in the treatment of wounds and burns.

It is another object of this invention to coat catheters and the like, which are used for acute or chronic treatment, with a composition containing a broad spectrum of immunoglobulins which includes antibodies to prevent the types of infections which often result with the long term use of these devices.

It is another object of this invention to provide a method of using immunoglobulin compositions of broad spectrum and high concentration, whereby bacteria are pre-opsonized in-situ for enhanced phagocytosis and killing.

According to the invention, the direct, concentrated local delivery of passive immunity is accomplished by applying a composition having a full repertoire of immunoglobulins (IgG, IgM and IgA) to biomaterials, implants, tissues, and wound and burn sites. The composition preferably has elevated concentrations of certain immunoglobulin classes (IgG, IgM, and IgA), and elevated antibody titers to specific microorganisms that commonly cause biomaterial, burn, mucosal, tissue, surgical wound, and body cavity infections. Compositions within the practice of this invention may take several forms, including cremes, ointments, lavage fluids, sprays, lozenges, coatings, layers, or any other topical mode of administration. In addition, the compositions may be combined with or immobilized on a biocompatible or biodegradable material, or be impregnated in a matrix material for sustained release. The compositions can be used for both prevention and treatment of infections.

5 In oral applications, the composition would ideally be provided as a lozenge, mouthwash, or spray, while in trauma patients the composition may be best applied as a creme or ointment, or as part of a biomaterial implant or fixation device. The immunoglobulins and other antibodies of the present compositions can be immobilized on a biocompatible material which is placed in-situ in a patient's wound or burn site, or be coated on a catheter or  
10 the like that is inserted in a body cavity.

Application of the compositions should occur within six hours or at a time of trauma or of cleaning the wound or burn site so that bacteria present therein or arriving at the site will be  
15 pre-opsonized for phagocytosis and killing prior to their replication and potential toxin production. Furthermore, application prior to biofilm formation reduces the adhesion of infectious bacteria to biomaterial implants and certain tissues, and helps  
20 prevent the formation of a biofilm which would block contact of the infectious bacteria with circulating immunoglobulins and macrophages.

In summary, tissue, wound or biomaterial surface pretreatment at the time of surgery or  
25 shortly after trauma, would allow the effective use of a full repertoire of immunoglobulins, including IgG, IgM, and IgA at high concentrations without side effects, before colonization and infection develops.

30

DETAILED DESCRIPTION OF THE PREFERRED  
EMBODIMENTS OF THE INVENTION

5       It is well established that the microorganisms  
that are causative agents of biomaterial-related  
infections have a strong affinity for binding to  
the surfaces of biomaterials (See, Gristina et al.,  
"Materials, Microbes and Man: The Problem of  
10       Infection Associated with Implantable Devices",  
Current Perspectives on Implantable Devices, Vol.  
1, pp. 71-137 (1989), JAI Press, Inc.). This  
affinity allows these causative agents of serious  
biomaterial related infections to colonize the  
surfaces of biomaterials. At the moment of  
15       implantation, a polymeric biomaterial, such as a  
vascular graft or the like, is a ready site for  
competitive bacterial or tissue colonization. *In*  
*vivo*, available bacteria may defeat the host tissue  
cells in a race for the polymer's surface and thus  
20       cause infection, resulting in the failure of tissue  
integration, of the polymer (Gristina et al., *Zbl.*  
*Bakt. Suppl.* 16, Gustav Fischer Verlag, Stuttgart,  
New York, pp. 143-157 (1987)). Bacteria colonized  
on the surface of a biomaterial become protected  
25       from antibiotics and host defenses  
(immunoglobulins) by a biofilm and continuously  
maintain the infection in the patient, despite  
antibiotic medication. The biofilm also provides  
the bacteria with some protection from phagocytes,  
30       a major mechanism of host defense. Experience has  
shown that phagocytes have great difficulty in  
their attempts to phagocytose and kill the  
offending organisms growing at the biomaterial-host

tissue interface, particularly when bacteria are embedded in a biofilm.

Experiments have shown that hyperimmune sera made in rabbits by injecting rabbits with killed *Staphylococcus epidermidis* (RP12 strain) and/or the polysaccharide capsular slime extracted from *S. epidermidis* strain RP12 markedly reduces the adherence of the RP12 strain to the surface of the biomaterial polymethylmethacrylate (PMMA). *S. epidermidis*, which is usually thought of as a nonpathogenic commensal human skin saprophyte, has emerged as a serious pathogen in biomaterial-related infections as well as in immunocompromised patients (Gristina et al., *Zbl. Bakt. Suppl.* 16, Gustav Fischer Verlag, Stuttgart, New York, pp. 143-157 (1987)). In these experiments, standard suspensions of the RP12 strain of *S. epidermidis* were incubated for thirty minutes with 1:200 dilutions of either normal rabbit serum or hyperimmune serum against the RP12 strain of *S. epidermidis*. This allowed the specific antibodies to bind to the surface polysaccharide molecules of the organisms. These suspensions were washed with phosphate buffered saline (PBS) and standard samples of PMMA were added to the various preparations. The bacteria-PMMA preparations were incubated for sixty minutes, and the PMMA samples were then washed three times with PBS to remove loosely attached bacteria. The PMMA samples were sonicated for ten minutes in PBS and the supernatants were diluted and plated on Trypticase-Soy agar to determine the number of colony forming units (CFU) that adhered to the PMMA samples.

Table 3 presents the experimental results.

TABLE 3

5	Effect of Anti-RP12 Antisera on the Binding of the RP12 Strain of <i>S. epidermidis</i> to PMMA		
10	PMMA plus RP12 incubated with	CFU Bound to PMMA	Percent inhibition
	PBS	393,000	
	Normal Serum (1:200)	319,000	
	Antiserum (1:200; lot 11949)	105,000	67 <sup>a</sup> 73 <sup>b</sup>
15	<sup>a</sup> Calculated as the percent inhibition of anti-sera treated RP12 versus RP12 pretreated with normal sera. <sup>b</sup> Calculated as the percent inhibition of anti-sera-treated RP12 versus RP12 pretreated with only PBS.		

Table 3 shows that normal serum has some inhibitory effects. This is not surprising because a low level of antibody would be expected in the sera of rabbits and humans because *S. epidermidis* is a normal flora microorganism of the skin.

The immunoglobulin G (IgG) fraction was isolated from the antiserum (11949) and tested for its capacity to block adherence of the RP12 strain. Gristina, *Science* 237:1588-1595 (1987), points out that inhibiting bacterial adhesion is an important parameter in reducing biomaterial-centered infection. Polymethylmethacrylate (PMMA) samples incubated with RP12 suspended in PBS (no antibodies) bound 604,000 CFU per sample. In sharp contrast, PMMA samples incubated with RP12 preincubated with the hyperimmune IgG only bound 33,000 organisms. This represents a 94 percent inhibition of binding of RP12 to PMMA.

Kojima et al., *J. Infectious Dis.* 162:435-441 (1990), disclose similar experiments where antibody to the capsular polysaccharide/adhesin (PS/A)



protects rabbits against catheter related bacteremia due to coagulase negative staphylococci. *In vitro* experiments with antibody raised to PS/A inhibited adherence of homologous and heterologous adhesin-positive coagulase negative staphylococci to silicon elastomer catheter tubing in a dose-response fashion.

For comparison purposes, experiments were conducted to determine the capacity of antiserum (11949) to inhibit the binding of various strains of coagulase negative staphylococci. Six strains of coagulase negative staphylococci were incubated with the anti-RP12 antiserum (11949) to determine whether specificity exists with respect to blocking the adherence of the different strains to PMMA. The inhibition assay described above was performed for each strain and the results are set forth in Table 4.

TABLE 4

Capacity of Anti-RP12 Antiserum to Block Adherence of Six Strains of Coagulase Negative Staphylococci to PMMA

Strain	CFU/Sample	% Inhibition
RP12	198,000-6,000	67-99
SP2	162,000	73
SE360	602,000	0
LD1	126,000	79
ERI	610,000	0
RP62A	695,000	0

The results in Table 4 indicate that there is specificity in inhibition and that serologic groups of adhesins exist.

From the above data in Tables 3 and 4, this invention contemplates that hyperimmune sera raised against a pool of adhesins is needed to block adherence of various coagulase negative serotypes

of staphylococci and other bacteria and viruses to biomaterials and to lower the risk of infection at surgery.

5       IVIG compositions are commercially available  
(e.g., GAMMAGARD® available from Baxter Healthcare Corporation), and are used in the treatment of  
primary immunodeficiency states such as congenital  
agammaglobulinemias, common variable  
10       immunodeficiency, Wiskott-Aldrich syndrome, etc.  
In addition, IVIG compositions have been used to  
prevent bacterial infections in patients with  
hypogammaglobulinemia and/or recurrent bacterial  
infections associated with B-cell Chronic  
15       Lymphocytic Leukemia. Siber et al., "Use of immune  
globulins in the prevention and treatment of  
infections", Remington I.S. and Swartz M.N. eds.,  
Current Clinical Topics in Infectious Disease, Vol.  
12, Blackwell Scientific, pp. 203-257, 1992,  
20       provides a thorough review of the use of  
intravenous immunoglobulins.

      The major benefit of the intravenous  
immunoglobulins may be to opsonize bacteria for  
phagocytosis and enhance clearance of bacteria or  
their products. Additional benefits may be the  
25       neutralization of endotoxins and exotoxins, down  
regulation of interleukin-1 (IL-1) and TNF  
responses, and the recruitment of neutrophils from  
storage pools via C3 and C5 fragments. However,  
intravenous immunoglobulins can have detrimental  
30       effects, including the generation of immune  
complexes between exogenous antibody and large  
amounts of microbial antigens with the ensuing  
release of inflammatory mediators, blockade of Fc

receptors or of C3 fixation leading to impaired clearance mechanisms, enhanced release of toxic products such as endotoxin or bacterial cell wall constituents due to complement mediated bacterial lysis. Experiments with rats have shown that high doses of intravenous immunoglobulins have enhanced mortality rates.

In addition, Siber et al., "Use of immune globulins in the prevention and treatment of infections", Remington I.S. and Swartz M.N. eds., Current Clinical Topics in Infectious Disease, Vol. 12, Blackwell Scientific, pp. 203-257, 1992, report that the administration of intravenous immunoglobulins did not reduce the incidence of bacteremias or mortality in trauma, major surgery, and burn victims.

This invention is particularly directed to new broad spectrum immunoglobulin compositions with a full repertoire of immunoglobulin classes (IgG, IgA, IgM) which are used to prevent and treat infections associated with major surgery, burns, trauma, and biomaterial devices and implants. In contrast to IVIG compositions, the compositions of the present invention are applied directly to the wound or burn site, or the biocompatible device or implant (including metal and polymeric materials).

It is probable that intravenous delivery routes cause serum dilution so that only low concentrations of antibodies reach the specific target site. The formation of biofilm protected infections, and limited diffusion, at traumatized tissue sites or in sites of poor vascularity (musculoskeletal and joints, burn sites) is also a

likely cause for the ineffectiveness of IVIGs noted by Siber et al. against trauma. Major trauma also increases catabolic effects which may alter half-life of IVIG preparations. The use of IVIG in  
5 established infection after microorganisms have adhered, produced toxins, or are intracellular, is also less likely to be effective. IVIG prophylaxis has been believed not to prevent acquisition of the pathogen (see, Mandell et al., Eds., Principles and  
10 Practice of Infectious Disease, 2nd ed., John Wiley & Sons, New York, 1985, pp. 37-43). This is a misconception because IVIG is usually given after infection is established. The applicants also note that even if IVIG were given before infection,  
15 effective levels of immunoglobulins would not be available to intercept pathogens at entry sites or portals such as on biomaterials, on burned or damaged tissues, and on mucosal surfaces, before or shortly after contamination because of dilution and  
20 deficient circulation.

The inconsistent benefit of immune globulin in preventing nosocomial and post traumatic and burn infections may, in part, be explained by the variable levels of antibodies in standard  
25 preparations, as well as the required absence of IgA and IgM from IVIG preparations to prevent side effects. In 1992, a New England Journal of Medicine report stated, "Little is known about the variability in levels of antibodies to more common  
30 nosocomial pathogens, such as coagulase-negative staphylococci, or about the nature of the antibodies that confer protection, or even whether antibodies have a role in preventing infections

associated with indwelling devices" (see, Siber, *New Eng. J. Med.*, 327(4):269-271 (1992)).

5 This invention solves the short-comings of  
IVIIGs in preventing and treating infections  
secondary to trauma, burns, surgery, and  
biomaterials by applying a full repertoire  
immunoglobulin composition to tissue surfaces and  
biomaterials to prevent microbial adhesion and  
colonization (the acquisition of pathogens) and to  
10 pre-opsonize microbes in-situ for enhanced  
phagocytosis and killing. By preventing adhesion  
to surfaces and by opsonizing bacteria on arrival  
and shortly afterward, bacteria are identified,  
made vulnerable, and targeted for neutrophil and  
15 macrophage phagocytosis and killing while bacterial  
numbers are low before they can reproduce, release  
toxins, destroy tissue and form protective  
biofilms. This process also assists antibiotic  
strategies, since bacteria are more vulnerable  
20 before attachment to surfaces.

The use of applied coating concentrates of  
globulins to tissue, mucosal and biomaterial  
surfaces allows high dosages of IgA and IgM, in  
addition to IgG, to be delivered directly to a  
25 wound. Currently available IVIG preparations have  
IgA and IgM selectively removed to prevent  
anaphylactoid reactions. Anaphylactoid reactions  
are not a danger when an immune globulin  
composition is used locally at a wound or burn  
30 site. Including IgA in a creme, ointment, or  
lavage fluid that will be applied to a wound or  
biomaterial is advantageous since IgA is known to  
block adhesion of bacteria and to neutralize

viruses. IgM enriched IVIG preparations have been reported to be highly effective against gram negative bacteria and endotoxins (see, Behre et al., *Antimicrobial Agents and Chemotherapy*, Oct. 1992, pp.2139-2146); therefore, including elevated concentrations of IgM in a creme, ointment or lavage fluid is preferred. Macrophages and complement are naturally mobilized and concentrated at wound sites and are available to respond to the bacteria opsonized by the therapeutically delivered polyvalent globulins of the inventive compositions. The generation of immune complexes and inflammatory mediators, as occurs with high doses of IV preparations, is diminished or prevented by local delivery. Equine or other animal derived plasma products, if utilized for human or animal therapy, are also less likely to cause side effects by this method.

In summary, wound or biomaterial surface pretreatment, at time of surgery or shortly after trauma, allows the effective use of a full repertoire of globulins including IgG, IgM, and IgA at high concentrations without side effects, before infection starts.

The immunoglobulin preparations of the present invention can be prepared by a number of methods. It is contemplated that an ideal method for obtaining the immunoglobulin preparations is to first obtain the immunoglobulin fraction (cold ethanol fractionation process) from the sera from a large number of human donors. As needed, the immunoglobulin pool will be fortified with hyperimmune immunoglobulins obtained from immunized

donors or donors with high antibody titers for specified bacteria or viruses. In addition, monclonal antibodies for specified bacteria and viruses can be added to the compositions.

5           In this invention, the full repertoire of immunoglobulin classes, IgG, IgA, IgM, is preferably used in the ointments, cremes, lavage fluids, etc.; however, the ointments, cremes, and lavage fluids could contain only IgG if desired.

10   Preferably, the immunoglobulins will be concentrated for high dosages. The immunoglobulins will constitute 0.1-20 percent by weight of the ointment, creme, lavage fluid, etc., with higher concentrations preferred (e.g., 10-20 percent by

15   weight). If monoclonal antibodies specific for certain microorganisms are added to the immunoglobulin compositions, they will typically be present at 0.01-1 percent by weight. The ointments, cremes, or lavage fluids will be used

20   locally by direct application to a wound or burn, or as a wash or coating for a biomaterial device or implant (e.g., catheter). In addition, the compositions can be impregnated in or immobilized on a matrix carrier (e.g., fibrin, collagen, etc.)

25   for sustained release or elution therefrom. The matrix carrier can be in the form of a wound dressing or other material placed in-situ at a wound or can be coated on the body contacting surfaces of a biomaterial implant or device

30   (catheter, etc.). The immunoglobulin compositions will ordinarily be provided to patients at 2-100 mg/kg body weight; however, variation from this dose range can occur. The size of the wound or

biomaterial implant can dictate that smaller or larger quantities of the compositions be used.

Table 5 lists the concentration ranges and mean values for immunoglobulins found in normal sera, as well as the proposed concentrations of immunoglobulins to be used in wash lavage or wash fluid preparations contemplated by this invention.

TABLE 5

Range of concentrations of immunoglobulins in normal human sera in mg/dl as compared to the concentrations used in lavage fluid preparations of the present invention

	Immunoglobulin	Normal Serum Level	Mean	Lavage
15	IgG	600-1600	989	500-2000
	IgM	45-150	100	100-300
	IgA	60-330	200	100-500

As discussed above, compositions with elevated levels of IgM and IgA (200-300 mg/dl and 400-500 mg/dl, respectively) would provide benefits in blocking adhesion of bacteria to biomaterials and certain tissues, which will prevent microbial pathogen adherence and colonization as well as have enhanced activity towards gram negative bacteria and endotoxins. In addition, concentrated levels of IgA provide enhanced neutralization of viruses and prevent viruses from infecting cells lining the mucocutaneous surfaces of the body. Since the broad spectrum immunoglobulin compositions are being locally delivered, anaphylactoid reactions are avoided. Furthermore, side effects associated with IVIG (IgG only) preparations such as increased pulse rate and blood pressure are avoided by local delivery, thereby allowing elevated concentrations of IgG (1700-2000 mg/dl or higher) to be administered to a patient. Concentrated levels of



immunoglobulins (IgG, IgM, and IgA) enhance the in-situ pre-opsonization strategy contemplated by this invention. The lavage fluids of the present invention will ordinarily be diluted in saline at neutral pH and will include stabilizing agents such as glucose (up to 20 mg/ml), polyethylene glycol (up to 2 mg/ml), glycine (up to 0.3 M), and albumin (preferably human up to 3 mg/ml). Buffer agents (e.g., acetate) could be included in the lavage fluids. Other base fluids (ethanol, etc.) and stabilizing agents (maltose, etc.), and the like may also be used for the lavage fluids of the present invention. The lavage fluids of the present invention could be used as wash for all routine surgeries including fiberoptic procedures, will have vaginal and genitourinary applications, and can be used as a peritoneal wash or combined with continuous peritoneal dialysate solutions.

Table 6 lists the concentration ranges of immunoglobulins in cremes, syrups, or other special viscous carriers (including lozenges and suppositories), contemplated by this invention.

TABLE 6

Range of concentrations of immunoglobulins in mg/dl in a viscous carrier (creme, ointment, syrup) of the present invention.

Immunoglobulin Class	Composition Level
IgG	2,500-20,000 mg/dl
IgM	500-3,000 mg/dl
IgA	500-5,000 mg/dl

Cremes, ointments, syrups, and the like, which are applied to the surfaces of biomaterial devices and implants (catheters, etc.), or to the surfaces of skin and of bandages and other dressings, as well as burned or damaged tissue provide an ideal

mechanism for maintaining immunoglobulins in-situ for extended periods of time. Because the carrier is a lotion, syrup, oil, or thickening agent, the immunoglobulins can be concentrated to levels 5-10 times greater than that used for lavage or wash fluids. As discussed above, stabilizers and other agents will be combined with the creme, ointment, syrup, cough drops, etc. Sprays, syrups, and cough drops containing the full repertoire immunoglobulin compositions are an ideal method for respiratory infection prevention and for delivery in times of epidemic risk.

The immunoglobulin preparations to be used in this invention will be tested for opsonic activity, viral neutralizing activity, and bactericidal activity with the addition of the complement system *in vitro* to evaluate and standardize the potency of the preparations. When activities are suboptimal, the preparations will be either fortified with hyperimmune globulins or monoclonal antibodies to provide the necessary antibody spectrum and level to cover the microbial strain specificities required for effective prophylaxis and/or treatment. Table 7 lists the major candidates for prophylaxis and treatment of wound, burn, nosocomial, and oral and respiratory infections of all types (including implanted devices).

TABLE 7

		Specific Antibodies
		<u>Estimated Effective Concentration</u>
5	<i>Staphylococcus aureus</i>	1-50µg/ml
	<i>S. epidermidis</i>	1-50µg/ml
	Coagulase Neg. Staph.	1-50µg/ml
	<i>Streptococcus</i> (Groups A, B, and D)	1-50µg/ml
	<i>Pseudomonas aeruginosa</i>	1-50µg/ml
10	<i>Escherichia coli</i>	1-50µg/ml
	<i>Enterobacter spp.</i>	1-50µg/ml
	<i>Klebsiella pneumoniae</i>	1-50µg/ml
	<i>Streptococcus pneumoniae</i>	1-50µg/ml
	<i>S. mutans</i>	1-50µg/ml
15	<i>Hemophilus influenzae</i>	1-50µg/ml
	<i>Proteus spp.</i>	1-50µg/ml
	<i>Bacteroides gingivalis</i>	1-50µg/ml
	<i>Streptococcus pyogenes</i> (Group A)	1-50µg/ml
	<i>Mycoplasma pneumoniae</i>	1-50µg/ml
20	Respiratory Syncytial Virus	1-50µg/ml
	Influenza Virus (A, B, and C)	1-50µg/ml
	Rhinovirus	1-50µg/ml

An immunoglobulin composition of this invention which could be used universally in the treatment and prophylaxis of wounds, burns, nosocomial infections, and oral and respiratory infections would have specific antibodies against each of the groups of potential pathogens of Table 7 within the above concentration ranges. In particular applications, the antibody titers for specific pathogens in the immunoglobulin compositions can be five to twenty times greater than those specified in Table 7 (e.g., 5-1000µg/ml). Compositions containing lower or higher antibody titers to less or more than the above listed pathogens might also provide protection from infections. For instance, a preparation containing high titer levels for *S. aureus* and *P. aeruginosa* may provide acceptable results. However, it should be understood that wound, burn, and nosocomial infections, etc., are

commonly polymicrobial and the result of a wide variety of pathogens, therefore, hyperimmune immunoglobulin compositions should contain high titers of antibodies for at least two and preferably three, four, or five, or more, of the pathogens listed in Table 7. Note that Table 4 above demonstrates that hyperimmune immunoglobulin compositions raised against a pool of infectious pathogens provides the optimum protection. Therefore, this invention contemplates a "polyclonal cocktail" of antibodies specific for key pathogens that normally gain entrance to all wounds, etc., as needed and determined by *in vitro* functional assays.

The invention also contemplates the use of monoclonal cocktails prepared against specific epitopes on the immunogenic antigens from the pathogens in Table 7. In this case, the effective concentrations would be 1-2 orders of magnitude lower than those indicated in Table 7. Specifically, concentrations of 0.01-5 $\mu$ g/ml of monoclonal antibodies would be present in the compositions.

Furthermore, the invention also contemplates supplementing immunoglobulin compositions with monoclonal antibodies specific for the relevant pathogens as needed. As discussed above, the concentration of the monoclonal antibodies added to the compositions would be in the 0.01-5 $\mu$ g/ml range.

Immunoglobulin polyclonal cocktail preparations, monoclonal cocktails, and immunoglobulin preparations supplemented with monoclonal antibodies, can be prepared for specific

applications to combat the major pathogens associated with those applications. The immunoglobulins for specific pathogens would preferably have an effective concentration of 1-50µg/ml of antibodies for those pathogens, and could have higher effective concentrations (e.g., 5-1000µg/ml) as described above. In addition, if the compositions contained monoclonal antibodies specific for the pathogens associated with a particular application, they would be present at a concentration of 0.01-5µg/ml. The compositions will contain those antibodies which are against the most clinically relevant strains or types of organisms.

The major pathogens to defend against will vary depending on the site of infection. For example, a contact lens wash solution should include immunoglobulins with antibodies or monoclonal antibodies specific for *S. epidermidis* and *P. aeruginosa*. In genitourinary catheter applications, the compositions should include immunoglobulins with antibodies or monoclonal antibodies specific for at least two of the following microorganisms: *E. coli*, *Enterobacter spp.*, *Proteus spp.*, and *P. aeruginosa*. In intravenous, intraarterial, or intraperitoneal catheter applications, the compositions should include immunoglobulins with antibodies or monoclonal antibodies specific for at least two of the following microorganisms: *S. aureus*, *P. aeruginosa*, *E. coli*, and *S. epidermidis*. Compositions to be used with wound (surgical or otherwise) and burn dressings should include

immunoglobulins with antibodies or monoclonal antibodies specific for at least two of the following microorganisms: *S. aureus*, *Enterobacter spp.*, *S. epidermidis*, and *P. aeruginosa*.

- 5 Compositions to be used in combination with biomaterial implants and devices (catheters, artificial hearts, etc.), should include immunoglobulins with antibodies or monoclonal antibodies specific for at least two of the following pathogens: *S. epidermidis*, *S. aureus*, *E. coli*, *Enterobacter spp.*, or *P. aeruginosa*. Oral compositions (lozenges, syrups, etc.) should include immunoglobulins with antibodies or monoclonal antibodies specific for at least two of the following pathogens: *S. aureus*, *S. mutans*, and *Bacteroides gingivalis*. Compositions used for oral, nasopharyngeal, and respiratory infections (e.g., aerosol and non-aerosol sprays, lozenges, syrups, etc.) should include immunoglobulins with antibodies or monoclonal antibodies specific for at least two of the following pathogens: *Streptococcus mutans*, *B. gingivalis*, *S. pyogenes* (group A), *S. pneumoniae*, *K. pneumoniae*, *P. aeruginosa*, *S. aureus*, *M. pneumoniae*, *H. influenzae*, Respiratory Syncytial Virus, Influenza Virus (A, B, and C), and rhinoviruses.

20 The time of application of the full repertoire immunoglobulin compositions is important. Within six hours after a surgical wound or burn site occurrence, or after cleaning a wound or burn, a biofilm is formed over the site which includes bacteria and viral agents. The biofilm can shield the microbial agents against antibiotics,

intravenous immunoglobulins, and phagocytes; therefore, the biofilm acts a repository for pathogens which cause chronic and recurrent infections. By applying the full repertoire broad spectrum immunoglobulin composition at the wound or burn site immediately after cleaning or surgery and prior to bacterial attachment or biofilm formation prevents adhesion of the bacteria and pre-opsonizes the bacteria for phagocytic killing and removal before toxin release.

The protective activity of the full repertoire broad spectrum immunoglobulin composition could be enhanced by providing antibiotics, antivirals, antiinflammatory and healing compounds in combination with the immunoglobulins. For example, biocides, surfactants, bacterial blocking receptor analogues, cytokines, growth factors, macrophage chemotactic agents, cyphalosporins, aminoglycosides, fluoroquinolones, etc., could be provided at therapeutically acceptable levels in the lavage fluids, sprays (both aerosol and non-aerosol), ointments, cremes, syrups, lozenges, suppositories, and the like, of the present invention.

The full repertoire broad spectrum immunoglobulin compositions may ideally be immobilized within fibrin, collagen, gelatin, hyaluronan (hyaluronic acid), polysacharide, or other biocompatible or biodegradable materials that are to be placed in-situ at a wound or burn site. This would insure that antibodies to particular pathogens remain present throughout the healing process. The antibodies of the immunoglobulin

compositions could ideally have a slow, sustained release or elution from the matrix materials.

Ideally, the layers of the matrix materials with immobilized immunoglobulins would be biodegradable.

5 Antibiotic, antiviral, antiinflammatory and healing compounds would ideally be used in combination with the immunoglobulin composition, and these compounds would be impregnated into the biocompatible material. Catheters, ventilators, and implantable  
10 devices such as vascular grafts and total joints would ideally have the full repertoire broad spectrum immunoglobulin compositions of the present invention, as well as antibiotic and antiviral compounds, immobilized on an external or internal,  
15 body or blood contacting surface. Implantable devices are frequently responsible for severe infections; therefore, the compositions of this invention would have immediate application in combination with these devices.

20 It should be understood that the hyperimmune globulin compositions of the present invention have veterinary applications as well as human health care utility.

25 While the invention has been described in terms of its preferred embodiments, those skilled in the art will recognize that the invention can be practiced with modification within the spirit and scope of the appended claims.



**CLAIMS**

Having thus described our invention, what we claim as new and desire to secure by Letters Patent is as follows:

- 1 1. A method for preventing infections in human and  
2 animal hosts that are derived from wounds, burns or  
3 biomaterials, comprising the step of applying an  
4 amount of an immunoglobulin composition directly to  
5 said wounds, burns, or biomaterials sufficient to  
6 pre-opsonize microorganisms for phagocytosis and  
7 killing by host defense mechanisms prior to  
8 microbial attachment and biofilm formation.
- 1 2. A method as recited in claim 1 wherein said  
2 immunoglobulin composition includes IgA.
- 1 3. A method as recited in claim 2 wherein said IgA  
2 is present at an elevated level compared to normal  
3 serum.
- 1 4. A method as recited in claim 1 wherein said  
2 immunoglobulin composition includes IgG, IgM, and  
3 IgA.
- 1 5. A method as recited in claim 4 wherein said  
2 IgG, IgM, and IgA are present at elevated levels  
3 compared to normal serum.
- 1 6. A method as recited in claim 1 wherein said  
2 immunoglobulin composition includes immunoglobulins  
3 with antibodies specific for at least two

4 microorganisms selected from the group consisting  
5 of *S. aureus*, *S. epidermidis*, *P. aeruginosa*, *E.*  
6 *coli*, *Enterobacter spp.*, and *Streptococcus* (Groups  
7 A, B, D).

1 7. A method as recited in claim 1 wherein said  
2 immunoglobulin composition includes immunoglobulins  
3 with antibodies specific for at least three  
4 microorganisms selected from the group consisting  
5 of *S. aureus*, *S. epidermidis*, *P. aeruginosa*, *E.*  
6 *coli*, *Enterobacter spp.*, and *Streptococcus* (Groups  
7 A, B, D), Coagulase Negative Staphylococci,  
8 *Klebsiella pneumoniae*, *S. mutans*, *Hemophilus*  
9 *influenzae*, *Proteus spp.*, *Bacteroides gingivalis*,  
10 *Mycoplasma pneumoniae*, *S. pyogenes*, Respiratory  
11 Syncytial Virus, Influenza Virus (A, B, and C), and  
12 rhinovirus.

1 8. A method as recited in claim 1 wherein said  
2 immunoglobulin composition includes both  
3 immunoglobulins with antibodies and monoclonal  
4 antibodies specific for at least two microorganisms  
5 selected from the group consisting of *S. aureus*, *S.*  
6 *epidermidis*, *P. aeruginosa*, *E. coli*, *Enterobacter*.  
7 *spp.*, and *Streptococcus* (Groups A, B, D).

1 9. A method as recited in claim 1 wherein said  
2 immunoglobulin composition includes both  
3 immunoglobulins with antibodies and monoclonal  
4 antibodies specific for at least three  
5 microorganisms selected from the group consisting  
6 of *S. aureus*, *S. epidermidis*, *P. aeruginosa*, *E.*  
7 *coli*, *Enterobacter spp.*, and *Streptococcus* (Groups

8 A, B, D), Coagulase Negative Staphylococci,  
9 *Klebsiella pneumoniae*, *S. mutans*, *Hemophilus*  
10 *influenzae*, *Proteus spp.*, *Bacteroides gingivalis*,  
11 *Mycoplasma pneumoniae*, *S. pyogenes*, Respiratory  
12 Syncytial Virus, Influenza Virus (A, B, and C), and  
13 rhinoviruses.

1 10. A method for preventing infections in human  
2 and animal hosts that are derived from wounds,  
3 burns or biomaterials, comprising the step of  
4 applying an amount of a composition containing  
5 immunoglobulins with antibodies or monoclonal  
6 antibodies specific for at least two different  
7 microorganisms directly to said wounds, burns, or  
8 biomaterials sufficient to pre-opsonize  
9 microorganisms for phagocytosis and killing by host  
10 defense mechanisms prior to microbial attachment  
11 and biofilm formation.

1 11. A method as recited in claim 10 further  
2 comprising the step of allowing said  
3 immunoglobulins with antibodies or said monoclonal  
4 antibodies to remain at a site of said wound, burn,  
5 or biomaterial for an extended period of time.

1 12. A composition for preventing infections in  
2 human and animal hosts that are derived from  
3 wounds, burns or biomaterials, comprising a mixture  
4 of immunoglobulins including IgG, IgM, and IgA,  
5 wherein either said IgG, said IgM, or said IgA is  
6 present in a concentration greater than the  
7 relative concentration of IgG, IgM or IgA in serum.

1 13. The composition of claim 12 wherein said IgG  
2 has a concentration ranging between 500-20,000  
3 mg/dl, said IgM has a concentration ranging between  
4 100-3000 mg/dl, and said IgA has a concentration  
5 ranging between 100-5,000 mg/dl.

1 14. The composition of claim 1 wherein said  
2 mixture of immunoglobulins comprises 0.1-20 percent  
3 by weight of a solution selected from the group  
4 consisting of a gel, ointment, creme, syrup, spray,  
5 lozenge, suppository, and lavage fluid.

1 15. The composition of claim 12 wherein said  
2 immunoglobulins are immobilized on a biocompatible  
3 material.

1 16. The composition of claim 15 wherein said  
2 biocompatible material is selected from the group  
3 consisting of fibrin, collagen, gelatin,  
4 hyaluronan, polysacharides, polymers, and alloys.

1 17. A composition for preventing infections in  
2 human and animal hosts that are derived from  
3 wounds, burns or biomaterials, comprising a mixture  
4 of antibodies specific for at least two  
5 microorganisms selected from the group consisting  
6 of *S. aureus*, *S. epidermidis*, *P. aeruginosa*, *E.*  
7 *coli*, *Enterobacter spp.*, *S. mutans*, *B. gingivalis*  
8 and *Streptococcus* (Groups A, B, D), said antibodies  
9 being selected from the group consisting of  
10 immunoglobulins having said antibodies, monoclonal  
11 antibodies, and combinations thereof.

1 18. A composition as recited in claim 17 wherein  
2 said mixture of antibodies are monoclonal  
3 antibodies.

1 19. A composition as recited in claim 17 wherein  
2 said mixture of antibodies are immunoglobulins  
3 having said antibodies.

1 20. A composition as recited in claim 17 wherein  
2 said mixture of antibodies are a combination of  
3 immunoglobulins having antibodies and monoclonal  
4 antibodies.

1 21. A composition as recited in claim 17 wherein  
2 said immunoglobulins having said antibodies are  
3 present in a concentration ranging between 1 and  
4 50 $\mu$ g/ml.

1 22. A composition as recited in claim 17 wherein  
2 said immunoglobulins having said antibodies are  
3 present in a concentration ranging between 5 and  
4 1000 $\mu$ g/ml.

1 23. A composition as recited in claim 17 wherein  
2 said monoclonal antibodies are present in a  
3 concentration ranging between 0.01 and 5 $\mu$ g/ml.

1 24. A composition as recited in claim 17 wherein  
2 said immunoglobulins having said antibodies  
3 comprise 0.1 to 20 percent by weight of a delivery  
4 vehicle selected from the group consisting of a  
5 lavage fluid, lozenge, spray, syrup, ointment,  
6 creme, or suppository.

1        25. A composition as recited in claim 17 wherein  
2        said monoclonal antibodies comprise 0.01 to 1  
3        percent by weight of a delivery vehicle selected  
4        from the group consisting of a lavage fluid,  
5        lozenge, spray, syrup, ointment, creme, or  
6        suppository.

1        26. A composition for preventing infections in  
2        human and animal hosts that are derived from  
3        wounds, burns or biomaterials, comprising a mixture  
4        of antibodies specific for at least three  
5        microorganisms selected from the group consisting  
6        of *S. aureus*, *S. epidermidis*, *P. aeruginosa*, *E.*  
7        *coli*, *Enterobacter spp.*, *S. mutans*, *B. gingivalis*  
8        and *Streptococcus* (Groups A, B, D), Coagulase  
9        Negative Staphylococci, *Klebsiella pneumoniae*, *S.*  
10       *mutans*, *Hemophilus influenzae*, *Proteus spp.*,  
11       *Bacteroides gingivalis*, *Mycoplasma pneumoniae*, *S.*  
12       *pyogenes*, Respiratory Syncytial Virus, Influenza  
13       Virus (A, B, and C), and rhinoviruses, said  
14       antibodies being selected from the group consisting  
15       of immunoglobulins having said antibodies,  
16       monoclonal antibodies, and combinations thereof.

1        27. A composition as recited in claim 26 wherein  
2        said mixture of antibodies are monoclonal  
3        antibodies.

1        28. A composition as recited in claim 26 wherein  
2        said mixture of antibodies are immunoglobulins  
3        having antibodies.

1        29. A composition as recited in claim 26 wherein

2        said mixture of antibodies are a combination of  
3        immunoglobulins having antibodies and monoclonal  
4        antibodies.

1        30. A composition as recited in claim 26 wherein  
2        said immunoglobulins having said antibodies are  
3        present in a concentration ranging between 1 and  
4        50µg/ml.

1        31. A composition as recited in claim 26 wherein  
2        said immunoglobulins having said antibodies are  
3        present in a concentration ranging between 5 and  
4        1000µg/ml.

1        32. A composition as recited in claim 26 wherein  
2        said monoclonal antibodies are present in a  
3        concentration ranging between 0.01 and 5µg/ml.

1        33. A composition as recited in claim 26 wherein  
2        said immunoglobulins having said antibodies  
3        comprise 0.1 to 20 percent by weight of a delivery  
4        vehicle selected from the group consisting of a  
5        lavage fluid, lozenge, spray, syrup, ointment,  
6        creme, or suppository.

1        34. A composition as recited in claim 26 wherein  
2        said monoclonal antibodies comprise 0.01 to 1  
3        percent by weight of a delivery vehicle selected  
4        from the group consisting of a lavage fluid,  
5        lozenge, spray, syrup, ointment, creme, or  
6        suppository.

1        35. A fluid for washing contact lenses comprising  
2        a mixture of antibodies specific for both *S.*  
3        *epidermidis* and *P. aeruginosa*.

1        36. A fluid as recited in claim 35 wherein said  
2        mixture of antibodies includes both monoclonal  
3        antibodies and immunoglobulins containing said  
4        antibodies.

1        37. A composition for preventing genitourinary  
2        infections comprising a mixture of antibodies  
3        specific for at least two microorganisms selected  
4        from the group consisting of *E. coli*, *Enterobacter*  
5        *spp.*, *Proteus spp.*, and *P. aeruginosa*.

1        38. A composition as recited in claim 37 wherein  
2        said mixture of antibodies includes both monoclonal  
3        antibodies and immunoglobulins containing said  
4        antibodies.

1        39. A composition for preventing intravenous,  
2        intraarterial, and intraperitoneal infections  
3        comprising a mixture of antibodies specific for at  
4        least two microorganisms selected from the group  
5        consisting of *S. aureus*, *P. aeruginosa*, *S.*  
6        *epidermidis*, and *E. coli*.

1        40. A composition as recited in claim 39 wherein  
2        said mixture of antibodies includes both monoclonal  
3        antibodies and immunoglobulins containing said  
4        antibodies.



1        41. A composition for wounds and burn infections  
2        comprising a mixture of antibodies specific for at  
3        least two microorganisms selected from the group  
4        consisting of *S. aureus*, *S. epidermidis*, *P.*  
5        *aeruginosa*, and *Enterobacter spp.*

1        42. A composition as recited in claim 41 wherein  
2        said mixture of antibodies includes both monoclonal  
3        antibodies and immunoglobulins containing said  
4        antibodies.

1        43. A composition for preventing infections from  
2        biomaterial implants and devices comprising a  
3        mixture of antibodies specific for at least two  
4        microorganisms selected from the group consisting  
5        of *S. aureus*, *S. epidermidis*, *E.coli*, *P.*  
6        *aeruginosa*, and *Enterobacter spp.*

1        44. A composition as recited in claim 43 wherein  
2        said mixture of antibodies includes both monoclonal  
3        antibodies and immunoglobulins containing said  
4        antibodies.

1        45. A composition for prevention of oral  
2        infections comprising a mixture of antibodies  
3        specific for at least two microorganisms selected  
4        from the group consisting of *S. aureus*, *S. mutans*,  
5        and *B. gingivalis*.

1        46. A composition as recited in claim 45 wherein  
2        said mixture of antibodies includes both monoclonal  
3        antibodies and immunoglobulins containing said  
4        antibodies.

1        47. A composition for preventing oral,  
2        nasopharyngeal, and respiratory infections  
3        comprising a mixture of antibodies specific for at  
4        least two microorganisms selected from the group  
5        consisting of *S. aureus*, *S. mutans*, *S. pyogenes*, *S.*  
6        *pneumoniae*, *K. pneumoniae*, *P. aeruginosa*, *M.*  
7        *pneumoniae*, *H. influenzae*, Respiratory Syncytial  
8        Virus, influenza virus, rhinoviruses, and *B.*  
9        *gingivalis*.

1        48. A composition as recited in claim 47 wherein  
2        said mixture of antibodies includes both monoclonal  
3        antibodies and immunoglobulins containing said  
4        antibodies.

1        49. A biomaterial, comprising:  
2                a device insertable into a human or animal  
3        host's body, said device having a body contacting  
4        surface; and  
5                a mixture of immunoglobulins coated on said  
6        surface of said device.

1        50. The biomaterial as recited in claim 49 wherein  
2        said mixture of immunoglobulins includes IgG, IgA,  
3        and IgM.

1        51. The biomaterial of claim 49 wherein said IgG  
2        has a concentration ranging between 500-20,000  
3        mg/dl, said IgM has a concentration ranging between  
4        100-3000 mg/dl, and said IgA has a concentration  
5        ranging between 100-5,000 mg/dl.

1        52. The biomaterial of claim 49 wherein said

2 device is selected from the group consisting of  
3 contact lenses, catheters, ventilators, vascular  
4 grafts, internal fixation devices, and joints.

1 53. The biomaterial of claim 49 wherein said  
2 mixture of immunoglobulins is immobilized on said  
3 surface of said device.

1 54. The biomaterial of claim 49 further comprising  
2 a matrix carrier on said surface of said device  
3 selected from the group consisting of fibrin,  
4 collagen, gelatin, polysaccharides, and hyaluronan.

1 55. A biomaterial, comprising:  
2 a device insertable into a human or animal  
3 host's body, said device having a body contacting  
4 surface; and  
5 a mixture of antibodies specific for at least  
6 two microorganisms selected from the group  
7 consisting of *S. aureus*, *S. epidermidis*, *P.*  
8 *aeruginosa*, *E. coli*, *Enterobacter* spp., *S. mutans*,  
9 *B. gingivalis* and *Streptococcus* (Groups A, B, D),  
10 Coagulase Negative Staphylococci, *Klebsiella*  
11 *pneumoniae*, *S. mutans*, *Hemophilus influenzae*,  
12 *Proteus* spp., *Bacteroides gingivalis*, *Mycoplasma*  
13 *pneumoniae*, *S. pyogenes*, Respiratory Syncytial  
14 Virus, Influenza Virus (A, B, and C), and  
15 rhinoviruses, said antibodies being selected from  
16 the group consisting of immunoglobulins having said  
17 antibodies, monoclonal antibodies, and combinations  
18 thereof.

1        56. A composition as recited in claim 17 further  
2        comprising a compound selected from the group  
3        consisting of antibiotics, antivirals,  
4        antiinflammatory, and healing compounds.

1        57. A composition as recited in claim 26 further  
2        comprising a compound selected from the group  
3        consisting of antibiotics, antivirals,  
4        antiinflammatory, and healing compounds.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US94/00410

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(5) : Please See Extra Sheet.

US CL : 424/85.8, 530/387.1, 604/19

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/85.8, 530/387.1, 604/19

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS, DIALOG

search terms: biomaterial, implant, biocompatible device, staph aureus, staph epidermidis, compound, composition, immunoglobulin

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US, A, 4,994,269 (COLLINS et al.) 19 February 1991, see entire document	1-48 and 56-57
Y	Infection, Volume 15 Supplement 2, issued 1987, Collins et al., "Prophylaxis of Gram-negative and Gram-positive Infections in Rodents with Three Intravenous Immunoglobulins and Therapy of Experimental Polymicrobial Burn Wound Sepsis with Pseudomonas Immunoglobulin and Ciprofloxacin", pages S51-S59, see the abstract.	1-48 and 56-57



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	*T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be part of particular relevance	*X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Z*	document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means		
*P* document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

08 APRIL 1994

Date of mailing of the international search report

25 APR 1994

Name and mailing address of the ISA/US  
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## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US94/00410

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	Current Clinical Topics in Infectious Diseases, Volume 12, issued 1992, Siber et al, "Use of Immune Globulins in the Prevention and Treatment of Infections", pages 208-256.	1-48 and 56-57
Y	Arch Oral Biol, Supplement 35, issued 1990, Ma et al, "Prevention of Colonization of Streptococcus Mutans by Topical Application of Monoclonal Antibodies in Human Subjects," pages 115S-122S, see the abstract.	1-48 and 56-57
Y	US,A, 5,162,114 ( KUBERASAMPATH et al.) 10 November 1992, see entire document.	49-55
Y	US,A, 4,979,959 (GUIRE) 25 December 1990, see entire document.	49-55

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US94/00410

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

I. Claims 1-48 and 56-57, drawn to a method for preventing infections in human animal hosts and a composition for preventing infections in human animal hosts.

II. Claims 49-55, drawn to a biomaterial.

PCT Article 13.2 does not provide for multiple products within the same inventive concept.

1. ☒ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.  
☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US94/00410

## A. CLASSIFICATION OF SUBJECT MATTER: IPC (5):

A61K 39/395, C07K 15/28, A61N 1/30